

# NON-PERTURBATIVE EFFECTS IN $e^+e^-$ EVENT-SHAPE VARIABLES

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## Abstract

We review theoretical methods employed to study non-perturbative contributions to  $e^+e^-$  event-shapes and discuss their phenomenological relevance.

## 1 Introduction

Event-shape variables in  $e^+e^-$  annihilation, such as Thrust  $T$ , Heavy-jet Mass  $M_H$ ,  $C$ -parameter, Broadening  $B$ , have provided various tests of QCD and a way to measure  $\alpha_s$ . Besides the perturbative (PT) results, agreement with data is achieved only by taking into account additional corrections of non-perturbative (NP) origin recently addressed through analytic approaches [1–3].

As explained in Section 2, the net effect of these corrections is to raise the mean value of an event-shape by an amount proportional to  $1/Q$ , being  $Q$  the center of mass energy. Similar features occur for distributions. In Section 3 *universality* of  $1/Q$  corrections is discussed and it is mentioned how hadron mass effects partially spoil the 'simple' universality picture. We conclude in Section 4 giving some outlooks.

## 2 Power correction to event shapes

To see how NP corrections to event-shapes emerge, we consider, for instance, the mean value of  $\tau \equiv 1 - T$ . As shown in fig. 1, a next-to-leading order (NLO) calculation alone does not fully describe data and one has to add a power suppressed contribution of the form  $C_\tau/Q$ , with  $C_\tau \simeq 1\text{GeV}$ .

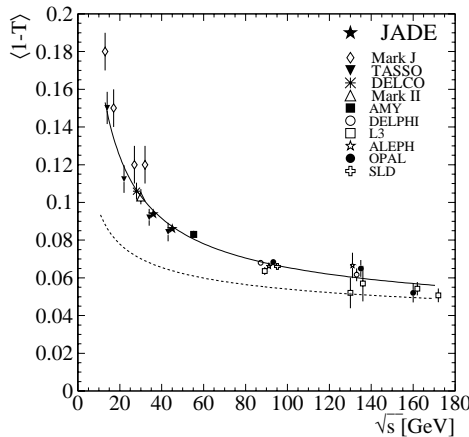


Figure 1: Mean value of  $1 - T$  as a function of  $Q$  [4]. The dotted line represents the NLO prediction, the solid line the improved prediction including a  $1/Q$  correction.

One cannot get rid of this mismatch by simply taking into account higher orders in the PT expansion, since the PT series itself is divergent (for a recent review see [5]). Any attempt to give a meaning to the series leads to an ambiguity (infra-red renormalon) which, for event shapes, is of the order  $\Lambda_{QCD}/Q$ . This ambiguity must be canceled by a NP contribution with the same power behavior.

For the distribution the situation is more involved. Actually, the full  $\tau$  distribution can be expressed as a convolution of a PT distribution with a NP shape function  $f_{NP}$ . In the region  $\tau \gg \Lambda_{QCD}/Q$  one can make the approximation

$$\frac{d\sigma}{\sigma d\tau} = \int d\epsilon f_{NP}(\epsilon) \frac{d\sigma_{PT}(\tau - \frac{\epsilon}{Q})}{\sigma d\tau} \simeq \frac{d\sigma_{PT}(\tau - \frac{\langle\epsilon\rangle}{Q})}{\sigma d\tau}, \quad (1)$$

so that hadronization corrections result in a  $1/Q$  power-suppressed shift of the PT distribution. In the region  $\tau \sim \Lambda_{QCD}/Q$  higher powers become equally important, so that the full shape function should be kept. This is the basis of the Korchemsky-Sterman approach [3], where a parameterization of the shape function is given and the parameters are fitted to the data.

### 3 Universality of $1/Q$ power corrections

Although  $1/Q$  power corrections are intrinsically NP quantities, one is able to predict their relative size from one observable to the next. First one observes that hadron multiplicity  $n_h$  is uniform in rapidity ( $\eta$ ):

$$\frac{dn_h}{d \ln k_t d\eta} = \phi_h(k_t), \quad (2)$$

with  $k_t$  the particle transverse momentum with respect to the thrust axis. This is the basis of the local parton hadron duality (LPHD) approach [6], where hadron momentum flow is supposed to follow parton flow. Furthermore, a soft particle contribution to an event shape may be written as the product of  $k_t/Q$  times an observable-dependent function of rapidity  $f_V(\eta)$  (for the Thrust  $f_\tau(\eta) = e^{-|\eta|}$ ).

As a consequence, the NP correction to the mean value of  $V$  can be expressed as (see for example [7], and references therein)

$$\langle V \rangle_{\text{NP}} = \frac{\langle k_t \rangle_{\text{NP}}}{Q} c_V, \quad \text{with} \quad \frac{\langle k_t \rangle_{\text{NP}}}{Q} = \int \frac{dk_t}{k_t} \frac{k_t}{Q} \sum_h \phi_h(k_t), \quad c_V = \int d\eta f_V(\eta), \quad (3)$$

so that all the observable dependence is contained in the calculable coefficient  $c_V$  and one is left with only one unknown NP parameter  $\langle k_t \rangle_{\text{NP}}$ . This property of  $1/Q$  power corrections is commonly referred to as *universality*.

### 3.1 Dispersive method

A useful parameterization of  $\langle k_t \rangle_{\text{NP}}$  is provided by the dispersive approach [2], in which the running coupling is defined at any scale through a dispersion relation. The NP parameter  $\langle k_t \rangle_{\text{NP}}$  is related to  $\alpha_0$ , the average of the dispersive coupling below a certain low scale  $\mu_I$  (conventionally chosen to be  $\mu_I = 2 \text{ GeV}$ ):

$$\frac{\langle k_t \rangle_{\text{NP}}}{Q} = \frac{4C_F}{\pi^2} \mathcal{M} \frac{\mu_I}{Q} \alpha_0(\mu_I) + \mathcal{O}\left(\alpha_s(Q) \frac{\mu_I}{Q}\right), \quad \alpha_0(\mu_I) = \frac{1}{\mu_I} \int_0^{\mu_I} dk \alpha_s(k). \quad (4)$$

Here the Milan factor  $\mathcal{M}$  accounts for the non-inclusiveness of shape variables [7]. The value of  $\alpha_0$  has been measured by performing a simultaneous fit of  $\alpha_s$  and  $\alpha_0$  to both mean values and distributions, and is found to be consistent with the universality hypothesis<sup>1</sup>, as shown in fig. 2.

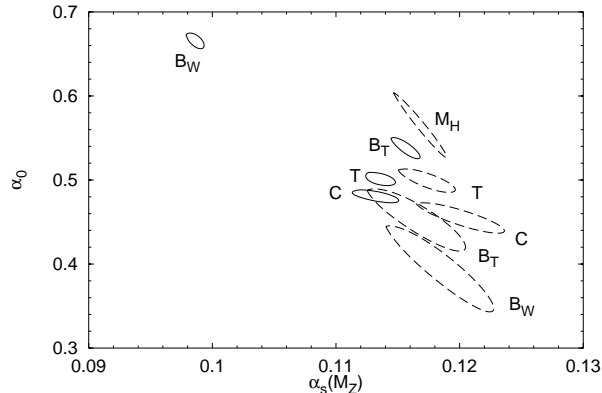


Figure 2:  $2\text{-}\sigma$  contours for fits to various two-jet observables [8]. Solid curves indicate fits to distributions, while dashed lines indicate fits to mean values.

These fits have been performed only with two-jet event shapes. Only very recently these studies have been extended to three-jet events, and the power corrections to the thrust-minor and  $D$ -parameter have been addressed [9].

### 3.2 Mass effects and universality

Until now hadron masses have been neglected in the definition of the event-shapes. As shown in [10], hadron masses give rise to additional power corrections

<sup>1</sup>Actually, the  $1/Q$  corrections to the wide-jet broadening  $B_W$  distribution are not yet believed to be fully understood. This seems to be a common problem of less inclusive quantities, such as  $B_W$  and  $M_H$ , where one particular hemisphere is chosen.

$\delta V_m$  which, in general, are not proportional to  $c_V$ , thus spoiling the universality picture. However, with a suitable redefinition of the observables (E-scheme) one is able to eliminate the non-universal contributions leaving just universal mass corrections of the form

$$\delta V_m = c_V \frac{\mu_\ell}{Q} \ln^A \frac{\Lambda_{QCD}}{Q}, \quad A = 4C_A/\beta_0 \simeq 1.6, \quad (5)$$

with  $\mu_\ell$  a new unknown parameter which depends on the hadron level considered. Unfortunately, currently available data are not precise enough to extract  $\alpha_0$  and  $\mu_\ell$  simultaneously. However, changing the definition scheme or the hadron level results in systematic uncertainties in  $\alpha_s$  and  $\alpha_0$  fits, thus revealing the presence of mass effects of the form predicted by eq. 5.

## 4 Conclusions

During this decade much theoretical and experimental effort has been devoted to the study of hadronization effects in  $e^+e^-$  event shapes. Experiments have confirmed the universality of  $1/Q$  power corrections, thus supporting the validity of the LPHD approach. However, more refined analyses have revealed the need to include higher power corrections through a NP shape function and the existence of mass effects. These and related topics need further experimental investigation.

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